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SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
Patent Application  
Docket No. SPO-111D1  
Serial No. 10/641,728

December 29, 2003

David R. Saliwanchik

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shinya Ikematsu, Yoshihiro Yoshida, Kenji Kadomatsu, Munehiro Oda,  
Sadatoshi Sakuma, Kin-ya Ashida, Kohsuke Kino, and Takashi Muramatsu.  
Serial No. : 10/641,728  
Filed : August 15, 2003  
For : Therapeutic Agents for Apoptosis-related Diseases

Commissioner for Patents  
P.O. Box 1450  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

Please note that CN 1379681 A is in a foreign language; however, also enclosed is the corresponding PCT application WO 99/53943, which is in English. Also, complete copies of the Chinese applications CN 1255856; CN1306435; and CN 1278184 were not available; however, the English translations are enclosed.

The Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



David R. Saliwanchik

Patent Attorney

Registration No. 31, 794

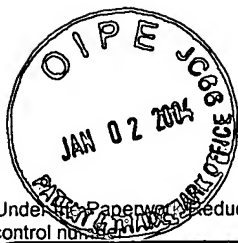
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DRS/la

Attachments: Form PTO/SB/08; and  
Copies of cited references



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031


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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/641,728
				Filing Date	August 13, 2003
				First Named Inventor	Shinya Ikematsu
				Art Unit	1642
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	SPO-111D1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	U1	US-			
	U2	US-			
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	F1	WO 99/53943 A2	10-28-1999	Colley, K.J.		
	F2	 CN 1379681 A	11-13-2002	Colley, K.J.		
	F3	CN 1255856 A (English trans. only)	06-07-2000	Muramatsu <i>et al.</i>		
	F4	CN 1278184 A (English trans. only)	12-27-2000	Yoshida <i>et al.</i>		
	F5	CN 1306435 A (English trans. only)	08-01-2001	Takada <i>et al.</i>		
	F6					
	F7					

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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